Dkt. 35997-A3ZYA/JPW/ADM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hung-Teh Kao, Paul R. Hartig, and Theresa Branchek

Serial No.: Not Yet Known

Filed: Herewith

For: DNA ENCODING A HUMAN SEROTONIN (5-HT₂) RECEPTOR

AND USES THEREOF

1185 Avenue of the Americas New York, New York 10036 August 14, 2001

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT AND INFORMATION DISCLOSURE STATEMENT

Please amend the subject application as follows:

In the Specification:

On page 1, line 4, please insert the following paragraph:

This application is a continuation of U.S. Serial No. 09/145,864, filed September 2, 1998, now allowed, a divisional of U.S. Serial No. 08/613,044, filed March 8, 1996, now U.S. Patent No. 5,885,785, issued March 23, 1999, a divisional of U.S. Serial No. 08/347,591, filed November 30, 1994, now U.S. Patent No. 5,661,024, issued August 26, 1997, a continuation of Serial No. 08/232,325, filed April 25, 1994, now abandoned, a continuation of Serial No. 07/999,661, filed December 29, 1992, now abandoned, a continuation of 07/635,402, filed December 31, 1990, now abandoned, a continuation of Serial No. 07/429,832, filed October 31, 1989, now abandoned, the contents of which are